

- ☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☒ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☒ a fee of \$180.00.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the Statement; or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three (3) months prior to the filing of the Statement.
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the information disclosure statement;
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel PC, Deposit Account No. 501505/5181-66200/RCK.

Respectfully submitted,



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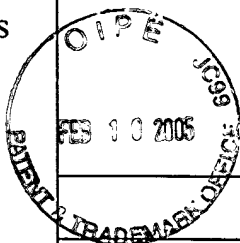
Date: Feb 8, 2005

Form PTO-1449 (modified)

List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DOCKET NO: 5181-66200

SERIAL NO: 09/660,005



APPLICANT: Saulpaugh et al.

FILING DATE: September 12, 2000

GROUP: 2144

U.S. PATENT DOCUMENTS

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|-------------------|-------------|--------------------|----------|------------------------|-------|--------------|-------------------------------|
| | E1 | 6,157,960 | 12/5/00 | Kaminsky et al. | | | |
| | E2 | 5,956,509 | 9/21/99 | Kevner | | | |
| | E3 | 6,134,603 | 10/17/00 | Jones et al. | | | |
| | E4 | 6,408,342 | 6/18/02 | Moore et al. | | | |
| | E5 | 6,104,716 | 8/15/00 | Crichton et al. | | | |
| | E6 | 6,016,516 | 1/18/00 | Horikiri | | | |
| | E7 | 6,654,793 | 11/25/03 | Wollrath et al. | | | |
| | E8 | 6,263,379 | 7/17/01 | Atkinson et al. | | | |
| | E9 | 6,212,578 | 4/3/01 | Racicot et al. | | | |
| | E10 | 5,999,988 | 12/7/99 | Pelegri-Llopert et al. | | | |
| | E11 | 6,282,568 | 8/28/01 | Sondur et al. | | | |
| | E12 | 5,671,279 | 9/23/97 | Elgamal | | | |
| | E13 | 6,185,611 | 2/6/01 | Waldo et al. | | | |
| | E14 | 5,548,726 | 8/20/96 | Pettus | | | |
| | E15 | 6,216,158 | 4/10/01 | Luo et al. | | | |
| | E16 | 2002-0059212 | 5/16/02 | Takagi | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|-----|--|
| E17 | Wobbler et al., "Authentication in the Taos Operating System," SIGOPS '93, December 1993, (pages 256-269) |
| E18 | M. Satyanarayanan, "Integrating Security in a Large Distributed System," ACM Transactions on Computer Systems, Vol. 7, No. 3, August 1989, (pages 247-280) |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)**List of Patents and Publications****For Applicant's Information****Disclosure Statement**

(Use several sheets if necessary)

PATENT & TRADEMARK OFFICE
FEB 10 2005
ATTY. DOCKET NO: 5181-66200

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| E19 | Andrew P. Black, "The Eden Project: Overview and Experiences," EUUG, September 22-25, 1986, (pages 177-189) |
| E20 | Guy T. Almes, "The Evolution of the Eden Invocation Mechanism" Technical Report 83-01-03, January 19, 1983, (15 pages) |
| E21 | Beogle et al., "Transparent Sharing of Java™ Applets: A Replicated Approach", IIST 97 Banff, 1997 (pages 55-64) |
| E22 | Jul et al., "Fine-Grained Mobility in the Emerald System," ACM Transactions on Computer Systems, Vol. 6, No.1, February 1988, (pages 109-133) |
| E23 | Andrew P. Black, "The Eden Programming Language," Technical Report 85-09-01, September, 1985, (19 pages) |
| E24 | Eric Jul, "Object Mobility in a Distributed Object-Oriented System," Department of Computer Science, 1989 (pages 1-155) |
| E25 | Israel et al., "Authentication in Office Systems Internetworks," ACM Transactions on Office System Information Systems, Vol. 1, No. 3, July 1983 (pages 193-210) |
| E26 | Scroeder et al., "Experience with Grapevine: The Growth of a Distributed System", ACM Transactions on Computer System, Vol. 2, No. 1, February 1984, (pages 3-23) |
| E27 | Tanenbaum et al., "Distributed Operating Systems," Computer Surveys, Vol. 17, No. 4, December 1985, (pages 419-470) |
| E28 | Jennings et al., "Using Intelligent Agents to Manage Business Processes," (16 pages) |
| E29 | Douglas Hodges, "Managing Object Lifetime in OLE," August 25, 1994, www.scit.vlv.ac.uk (41 pages) |
| E30 | "Java™ Remote Method Innovation Specification," Revision 1.4. JDK 1.1 FCS. February 10, 1997, (page 1-90) |
| E31 | Felix Samson Hsu, "Reimplementing Remote Procedure Calls," Thesis - University of Washington, March 22, 1985, (pages 1-106) |
| E32 | Ciancarini et al., "Coordinating Distributed Applets with Shade/Java," Dipartimento di Scienze dell'Informazione, University of Bologna - Italy, 1998 ACM 0-89791-969-6/98/0002, (pages 130-138) |
| E33 | Lampson et al., "Authentication in Distributed Systems: Theory and Practice," ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, (pages 265-310) |
| E34 | Koshizuka, et al., "Window Real Objects: A Distributed Shared Memory for Distributed Implementation of GUI Applications," UIST' 93, November 3-5, 1993 (pages 237-247) |
| E35 | Gerhard Steinke, "Design Aspects of Access Control in a knowledge Base System," Computers & Security, 10, 1991, (pages 612-625) |

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